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Dated: August 8, 2003

Signature: _____

(Orville R. Cookings)

Docket No.: SONY 3.0-034
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Maritzen et al.

Application No.: 10/015,070

Filed: December 11, 2001

For: METHOD AND SYSTEM OF
CONDUCTING NETWORK-BASED
TRANSACTIONS

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Group Art Unit: 3621
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Examiner: Not Yet Assigned
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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Dear Sir:

Preliminary to initiation of the prosecution of the above-identified pending U.S. patent application, the following amendments and remarks are respectfully submitted.

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GROUP 3600

08/12/2003 CNGUYEN 00000026 121095 10015070

01 FC:1202 54.00 DA
02 FC:1201 84.00 DA



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AMENDMENT TRANSMITTAL LETTER				Docket No. SONY 3.0-034	
Application No. 10/015,070		Filing Date December 11, 2001		Examiner Not Yet Assigned	
Art Unit 2161					
Applicant(s): L. Michael Maritzen and Harold A. Ludtke					
Invention: METHOD AND SYSTEM OF CONDUCTING NETWORK-BASED TRANSACTIONS					
TO THE COMMISSIONER FOR PATENTS					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	23	- 20 =	3	x 18.00	54.00
Independent Claims	4	- 3 =	1	x 84.00	84.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					138.00
<input checked="" type="checkbox"/> Large Entity <input type="checkbox"/> Small Entity					
<input type="checkbox"/> No additional fee is required for this amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. <u>12-1095</u> in the amount of \$ <u>138.00</u> A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>12-1095</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
Orville R. Cockings Attorney Reg. No.: 42,424 LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP 600 South Avenue West Westfield, New Jersey 07090 (908) 518-6397				Dated: August 8, 2003	
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Dated: August 8, 2003		Signature: _____ (Orville R. Cockings)			